

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Loafman et al.**

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Serial No.: **Not Assigned**

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Group Art Unit: **Not Assigned**

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Filed: \_\_\_\_\_

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Examiner: **Not Assigned**

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For: **Autonomic Filesystem Recovery**

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Attorney Docket No.: **AUS920030646US1**

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

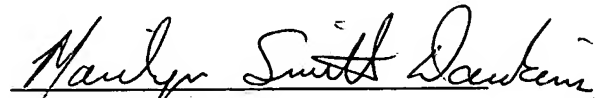
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 10/27/03

Respectfully submitted,



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<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS920030646US1</b>		SERIAL NO. <b>Not Assigned</b>	
			APPLICANT <b>Loafman et al.</b>			
			FILING DATE		GROUP ART UNIT <b>Not Assigned</b>	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME		CLASS/ SUBCLASS	FILING DATE
	AA 5,974,426	Oct. 26, 1999	Lee et al.		707/202	Aug. 13, 1997
	AB 5,771,354	Jun. 23, 1998	Crawford		395/200.59	Nov. 4, 1993
	AC 2001/0029511	Oct. 11, 2001	Burda et al.		707/202	Dec. 28, 2000
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY		CLASS/ SUBCLASS	TRANSLATION YES NO
	AD JP9106336	Feb. 26, 1997	Japan		G06F 11/00	
	AE JP2002182960	Jun. 28, 2002	Japan		G06F 12/00	
	AF JP2000076110	Mar. 14, 2000	Japan		G06F 12/00	
	AG JP6309210	Nov. 4, 1994	Japan		G06F 12/00	
	AH JP1305442	Dec. 8, 1989	Japan		G06F 11/22	
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>						
	AI	Kim, "Highly Available Systems for Database Applications", Computing Surveys, Vol. 16, No. 1, March 1984, pp. 71-80.				
	AJ	Saito et al., "Manageability, Availability, and Performance in Porcupine: A Highly Scalable, Cluster-Based Mail Service", ACM Transactions on Computer Systems, Vol. 18, No. 3, August 2000, pp. 298-307.				
	AK	Yen et al., "On Efficiently Tolerating General Failures in Autonomous Decentralized Multiserver Systems", 1995 IEEE, pp. 288-296.				
	AL	Mertoguno et al., "KYDON, A Self-Organized Autonomous Net: Learning Model and Failure Recovery", 1995 IEEE, pp. 163-173.				
	AM	Castro et al., "Practical Byzantine Fault Tolerance and Proactive Recovery", ACM Transactions on Computer Systems, Vol. 20, No. 4, November 2002, pp. 398-417.				
	AN	Chengzheng et al., "Automatic Recovery of a Parallel Stable File System", Parallel Database Systems, PRISMA Workshop, Noordwijk, The Netherlands, September 24-26, 1990, Proceedings, pp. 345-353.				
	AO	Zhong et al., "A Self-Adaptation, High Availability Cluster File System for Network Applications", 2001 International Conferences on Info-Tech and Info-Net Proceedings, 2001 - Beijing, pp. 323-327.				
<b>RELATED PATENT APPLICATIONS</b>						
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE			FILING DATE
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						